OVECOMING OBSTACLES TO THE EXCHANGE OF INFORMATION BETWEEN RISK TOOLS

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ABSTRACT

Risk tools have matured to the point where they support a variety of risk-informed decision making. While we may expect continued development of such tools to lead to further individual improvements, we believe the greatest gains are to be had from their integration.

Our work to date in connecting risk tools has had successes, but also has revealed there to be significant impediments to information exchange between them. These impediments stem from the well-known phenomenon of "semantic dissonance" [Kent, 1978] – mismatch between conceptual assumptions made by the separately developed tools. This issue represents a fundamental challenge that arises regardless of the mechanism of information exchange.

This paper explains the issue and illustrates it with reference to our experiences to date connecting several risk tools. We motivate this work, present and discuss the solutions we have adopted to surmount these impediments, and the implications this work has for future efforts to integrate risk tools.

1 Introduction

There exists a plethora of tools and techniques that support a variety of risk informed decision making. Some of this variety stems from independent realizations of *similar* approaches to solving similar problems (e.g., the similar approach to risk assessment seen in tools for Probabilistic Risk Assessment such as Saphire, Cafta, QRAS, Galileo), some from *alternative* approaches to similar problem areas (e.g., the *quantitative* approach as exemplified by the aforementioned tools, vs. the *qualitative* treatments of risk seen in some tools that support FMEAs and FMECAs), and some from addressing different problem areas (e.g., detailed risk *analysis* for a given system's design as exemplified by the Probabilistic Risk Assessment tools vs. extensive support for ongoing risk *management* of large projects, e.g. as provided by Active Risk Manager).

While we may expect continued development of such tools to lead to further individual improvements, we believe at this point the greatest gains are to be had from their integration:

- For tools offering similar approaches to similar problem areas, integration that facilitated the exchange of risk information between those tools would enable the strengths of a given tool to be brought to bear when appropriate (e.g., one tool might offer a more efficient algorithm, another a more cogent visualization of results), and would allow users to each stick with the tool they are familiar with while cooperating with one another.
- For tools offering alternative approaches to similar problem areas, integration that facilitated the sharing of the information they have in common would allow the parallel investigation of the problem with each approach, and comparison of their results; another advantage would stem from seamless transfer of information between risk tools that are suited to different phases of the lifecycle (e.g., having used a very agile but low-fidelity risk tool to make some initial down-selects among design options, it would be convenient to pass on the its risk analysis to serve as the starting point for more refined risk analysis in the next phase of development).
- For tools that address different problem areas, integration would allow their combination of strengths (e.g., a risk *analysis* tool would help in the risk informed decision making steps, while a risk *management support* tool would help track schedule and progress of the decisions made, and predictions forecasted).

Integration is clearly desirable. This issue is cogently presented in [Throop et al, 2005] for the closely related field of "...automated reasoning tools [that] must represent graphs of causally linked events. These include fault-tree analysis, probabilistic risk assessment (PRA), planning, procedures, medical reasoning about disease progression, and functional architectures ..." The authors describe the goal of a comprehensive interchange format for causal phenomena. They point to the availability of mechanisms for representing and manipulating such interchange formats (e.g., they refer to XML-based representations leading towards RDF or OWL, and to the Reference Information Model, RIM [RIM], a meta-standard for specifying data formats). They also point to several groups in the process of developing standard representations for fault trees and/or risks (e.g., Standard for the Exchange of Product model data, or STEP (ISO-10303) [STEP]).

We share this desire for integration, and over the past two years have been involved in some preliminary steps to integrate several risk tools and techniques. We embarked upon a long-range vision of a unified framework within which risk tools could co-exist, exchange information, and be operated in a coordinated fashion. This was to support the design of spacecraft and space missions, extending from their early (conceptual) design phases onwards. The framework (called the "Risk Tool Suite for Advanced Design") was built around an internal representation of risk information, to be capable of representing (and therefore facilitating the exchange of) multiple risk tools' various kinds of risk data. This effort sought to utilize existing risk tools, rather than recreate them from scratch, thus leveraging the significant development effort that had already been invested in each of those tools. However, because those tools had been developed separately from one another, several significant impediments emerged. One class of impediments in particular, the focus of this paper, stem from the well-known phenomenon of "semantic dissonance" [Kent, 1978] – mismatch between conceptual assumptions made by separately developed tools. Mismatches can range from the mundane (easily dealt with, provided that you recognize them! - e.g., different units of measurement, different data representations) to the complex (e.g., Probabilistic Risk Assessment tools manipulate structures such as logical fault trees, whereas other tools deal with risks as "atomic" objects). Identifying and surmounting these semantic dissonance impediments is a key step towards the goal of unifying multiple risk tools.

We found that a promising way to make progress towards this vision was to selectively build information exchange mechanisms between selected *pairs* of risk tools. This had the advantage of enabling their combined strengths to be applied immediately to risk analysis problems at hand, and guided progress towards the creation of a standard representation for risk exchange in the broader integration setting. We were following this approach in parallel with the construction of the infrastructure of the framework effort mentioned above. While that effort is no longer ongoing, our work to connect risk tools continues. Another advantage of our more selective approach to risk tool integration is that it narrowed the scope of the impediments stemming from semantic dissonance. The remainder of this paper discusses several of the impediments that we encountered, the solutions we adopted to surmount these impediments, and the implications this work has for future efforts to integrate risk tools. The paper is structured as follows:

Section 2 provides an overview of four risk tools that we have built connections among, and use as illustration throughout the paper.

Section 3 describes our motivations for seeking to connect these risk tools, and goes on to describe the kinds of impediments we encountered, stemming from information clashes attributable to semantic dissonance.

Section 4 presents the range of solutions we found the need to apply in order to solve the above impediments.

Section 5 illustrates the utility we achieved from our risk tool integrations.

Section 6 concludes the paper with a summary, and our plans for future work.

2 Overview of the Risk Tools used in this study

The specific risk tools whose connection we discuss herein are as follows:

- 1. **FMEA**: a spreadsheet-based Failure Mode and Effect Analysis tool from http://www.fmeainfocentre.com (the "FMEA Info Center").
- 2. Galileo, a dynamic fault tree analysis tool that calculates system reliabilities.
- 3. **RAP** ("Risk and Rationale Assessment Program"), an agile, multi-user risk collection tool developed and used at JPL for collecting expert opinions about risk from designers involved in concurrent design sessions.
- 4. **DDP** ("Defect Detection and Prevention"), a risk analysis tool developed and used at JPL for risk assessment and risk mitigation planning.

Since we developed RAP and DDP ourselves, we were able to extend/adjust these two as the need arose. In addition, the leaders of Galileo (Professors Joanne Dugan and Kevin Sullivan, of the University of Virginia) were party to our integration efforts, and in response to our requests were willing to make interface adjustments to their implementation. The spreadsheet FMEA tool we simply used as-is.

In the subsections that follow we describe the salient aspects of each tool from the perspective of risk tool integration. It is important to note that our descriptions are not intended to convey the breadth and depth of the capabilities that each of these tools proffer, and indeed fall far short in this respect. We recommend readers pursue the references for more thorough descriptions of the tools.

2.1 FMEA tool

The FMEA tool takes the form of a spreadsheet into which project-specific risk information can be entered. Its structure is as follows: rows are used to capture distinct failure mechanisms (causes); information on each such failure mechanism is organized into the following columns:

- **Item / Function** a short label that serves to identify to the reader the system element involved.
- **Potential Failure Mode** a brief textual description of the system-level failure that will potentially result.
- **Potential Effect(s) of failure** a brief textual description of the consequences of that failure.
- Severity on a scale of 1 (least) to 10 (most), how bad such a failure would be were it to occur.
- Potential Cause(s) / Mechanism(s) of Failure a brief textual description of the cause of the failure.
- **Likelihood** on a scale of 1 (least) to 10 (most), how likely such a failure is.
- **Current Design Controls** already planned-for measures of the current design and its development that serve to reduce severities and/or likelihoods..
- **Detectability** on a scale of 1 (most detectable) to 10 (least detectable), how well the current design controls are at "detecting" such failures prior to their actual occurrence. Note that a lower numerical score equates to a more effective detection; this is so that a simple multiplication is all that is required for the calculation in the next column.
- **Risk Priority Number** the product of Severity, Likelihood and Detectability. The higher this calculated number, the greater the overall risk.
- **Recommended action(s), etc.** further columns to use to list response plans, including the Severity, Likelihood and Detectability values that **would** result from their application, and track their status (e.g., whose responsibility it is, when it is to be done by, whether action has yet been taken).

This worksheet assigns numerical values (1-10) for Severity, Likelihood and Detectabilty values, but these are ordinals rather than cardinals. Thus these are *qualitative* measurement scales. For example, the upper end of the Severity scale is defined as

10: Hazardous without warning – "Very high severity ranking when a potential failure mode affects safe system operation without warning"

- 9: Hazardous with warning "Very high severity ranking when a potential failure mode affects safe system operation with warning"
- 8: Very High "System inoperable with destructive failure without compromising safety", etc.

The tool is to be found on the "FMEA Info Center", http://www.fmeainfocentre.com, along with many more resources on use of FMEAs, etc.

2.2 Galileo

The field of Probabilistic Risk Assessment has developed tools and techniques to assess risks within complex systems. The key idea of Probabilistic Risk Assessment is to deduce the reliability of a system from knowledge of the system structure and knowledge of the reliability of the individual components from which the system is composed. Application of Probabilistic Risk Assessment techniques yields an overall assessment of a system's reliability, confidence measures of that assessment, and insight into the key vulnerabilities of that system, thus indicating areas most in need of improvement. Probabilistic Risk Assessment is now applied to a wide variety of systems; NASA has developed training course and associated material [NASA PRA, 2002] to assist its application to space missions.

There are many Probabilistic Risk Assessment tools; in our studies to date, we have worked with one such tool, Galileo, a software tool for Dynamic Fault Tree analysis [Dugan et al, 1992]. Dynamic fault trees extend traditional fault trees in a way that makes them well suited for the analysis of, for example, computer-based systems. The Dynamic Fault Tree methodology uses special purpose gates to model sequential behaviors, such as functional dependencies, shared pools of spares, cold and warm spares and other time- and order-dependent events. Our integration studies to date have concentrated on only the simpler aspects of fault trees – the core features of "and" and "or" gates (see [Vesely et al, 1981] for the canonical description of fault trees). The essence is as follows:

- A **fault tree** comprises one or more nodes organized into a tree structure (strictly speaking, a directed acyclic graph with a single root, since it is permissible for an intermediate or leaf node to occur at multiple places within the tree.
- Each non-leaf node of a fault tree has a type, either an "and" node or an "or" node, the former (latter) indicating that the event represented by the node will occur if and only if all (at least one) of its child node events occur(s). (In most Probabilistic Risk Assessment tools, Galileo included, there are additional types of nodes, which our integration studies to date have not dealt with).
- Each leaf node of a fault tree has a **likelihood** assigned to it; the likelihoods of the non-leaf nodes are computed from knowledge of the likelihoods of their descendants.
- The root node of a fault tree has a consequence the adverse impact on the system should the event represented by the root of that fault tree occur.

For more information on Galileo, see [Sullivan et al, 1999] to learn more about the application of Galileo and the kind of dynamic fault trees it supports. The implementation of Galileo itself represents an interesting approach to the software engineering of such tools, including low-cost pathways to their construction [Dugan et al, 1999] and formal validation [Coppit & Sullivan, 2003].

2.3 Risk and Rationale Assessment Program (RAP)

JPL uses a concurrent engineering team to analyze the feasibility of mission ideas and produce conceptual mission designs. The team consists of up to 20 engineers, each representing a different discipline, and a team leader. The RAP software tool was developed for this team environment, to allow the various designers to consider and communicate about the risks of their mission designs. It is a distributed system that enables the gathering, communication and consolidation of risk

information. The individual risk elements that RAP users create and exchange each has the following attributes:

- **Title** a concise name with which to refer to the risk
- **Description** free-form text
- Owner the team member who owns the risk (RAP allows for the different team members to each have their own assessment of a risk element; in RAP parlance, "risk element" is the shared risk concept, which is coupled to multiple "risk factors", one per team member)
- **Objective** the objective threatened by this risk were it to occur (defaults to "mission success")
- **Likelihood**, expressed as a number on a scale of 1 (least) to 5 (most), where an element of this scale means a probability range (e.g., "5" means the probability range 0.7 1.0, "4" means the probability range 0.5 0.7, etc)
- **Impact**, expressed as a number on a scale of 1 (least) to 5 (most), where an element of this scale means a range of the proportional loss of objective (e.g., "5" means proportional loss in the range 0.7 1.0, "4" means the proportional loss in the range 0.5 0.7, etc.)
- **Mitigation** an option that, if chosen, reduces the likelihood and/or impact of the risk (e.g., use of redundant design elements decreases likelihood of a system failure risk); the risk reduction is indicated by providing the risk's likelihood and impact values that would be realized were that mitigation chosen.
- **Event(s)** records the mission events (also defined through the RAP tool) correlated with this risk. This information will be useful for conducting more detailed Probabilistic Risk Assessment studies of the mission design.

Additional attributes are used to track the risk's author, its status (e.g., a risk may have been suggested, but not yet assessed), etc.

For further information on the RAP tool and its applications, see [Meshkat et al., 2003] and [Meshkat & Oberto, 2004].

2.4 Defect Detection and Prevention (DDP)

DDP is another JPL-developed risk tool, whose primary aim is to assist in planning costeffective ways to reduce risks. DDP is suited to situations where are many options for reducing risks, yet resources (budget, time, and resources of the system itself such as mass, volume, electrical power) are limited, meaning only a fraction of those options can be afforded. The elements that DDP manipulates are:

- **Objectives** the goals that the system being studied is intended to achieve.
- **Risks** the events that, should they occur, will detract from attainment of (some) objectives
- **Mitigations** the options available for reducing risks.

Each of the above has a **Title** and **Description**. In addition:

- Each objective has a "weight" a non-negative number indicating its importance relative to other objectives (e.g., an objective with weight 10 is 5 times as important as one with weight 2)
- Each risk has an "a-priori likelihood" its probability of occurrence if nothing is done to inhibit it
- Each mitigation has a "**cost**" the resource cost (or costs, if multiple of these are being tracked in the study) of performing the mitigation, expressed in the appropriate cost units (e.g., \$).

Finally, two kinds of relationships connect instances of the above:

- An **impact** relationship connects a risk to an objective, and has an associated value, a number in the range 0-1, indicating the proportion of that objective that would be lost were the risk to occur.
- An **effect** relationship connects a mitigation to a risk, and has an associated value, a number in the range 0-1, indicating the proportion by which that risk will be reduced were that mitigation

to be applied (a further distinction among mitigations dictates whether the reduction will be to the risk's likelihood, or to the risk's impact(s)).

For a given selection of Mitigations, DDP computes its *cost* and *benefit*. Cost is simply the sum of the costs of the selected Mitigations; benefit is the sum of expected attainment of the Objectives, taking into account the risks (fault tree roots) that detract from those Objectives. DDP offers various computations and visualizations to help users arrive at a judicious selection of Mitigations.

For the genesis of DDP see [Cornford, 1998]; for information about the tool and details of its treatment of risk, see [Feather and Cornford, 2003]; for a discussion of its application to studies of novel technologies, see [Feather et al, 2005].

3 Tool Integration and Semantic Dissonance

We describe the factors that motivated our attempts to integrate various pairings among the four tools described in the previous section. We then outline a number of instances of information clashes attributable to semantic dissonance that we identified as we pursued the integration of those tools. These clashes are impediments to integration. The section after this describes the solutions that we have employed to date, and how effective they have been, at surmounting these impediments.

3.1 Motivations for Integration

On behalf of NASA, JPL designs, develops, operates and/or manages deep space missions, and spacecraft, instruments and/or other components of those missions. These activities span projects of widely varying scale (from individual instruments to multi-billion-dollar missions), and involvement may be from the early conceptual design phases all the way through to operation and decommissioning. This variety and range means that no single risk tool is suited to all applications, so it is not surprising to see a number of risk tools in use, different tools for different projects, and different tools at the different stages of a project's lifecycle. The four tools of our integration study are representative examples of this variety. RAP is used by the concurrent engineering team in the conceptual design phase, when mission concepts are explored. RAP is designed to be an agile, multi-user tool to suit this rapid-paced setting. DDP is typically employed to do a more in-depth study of a particular problem area, especially when there is need to scrutinize novel problems requiring judicious selection of the approaches to deal with them. FMEA is representative of the easy-to-adopt practices that help projects identify risk concerns. For example at the software/hardware interface, applications of fault trees, FMEAs and hazard analyses (and combinations of them, e.g., [Lutz & Woodhouse, 1997]) are commonly applied. Probabilistic Risk Assessment approaches are most appropriate for high-criticality systems and their detailed designs.

In this context we see many instances when it would be advantageous to have the capability to exchange information among these tools, and to use a combination of those tools' respective strengths. For example, in an earlier study [Cornford et al, 2003] in which Probabilistic Risk Assessment and DDP were separately applied to the same spacecraft design, comparison showed DDP's relative strengths to be the ability to capture the wide range of risks that threaten a development, and to plan mitigations accordingly, and Probabilistic Risk Assessment's relative strengths to be the ability to faithfully represent the interplay of faults in combination, and to pinpoint areas of vulnerability in such combinations. Relatively agile tools such as the FMEA tool (which we saw used to gather risk information about a software subsystem and its hardware interface) and RAP are in repeated use to gather and quickly assess risk information, but it would often be advantageous to be able to smoothly transition into using the more elaborate approaches: DDP when the mitigation options are plentiful and intertwined (meaning identifying a cost-effective approach to risk mitigation is a challenge), and Probabilistic Risk Assessment tools, such as Galileo,

when there are non-trivial causal chains of faults leading to failures (e.g., as would be the case when redundant [backup] design elements are employed).

3.2 Information Clashes from Semantic Dissonances between the Tools

In the course of building mechanisms for information exchange between these tools we identified the following information clashes, each an instance of semantic dissonance:

- Quantitative vs. qualitative assessments: the FMEA tool uses ordinal scale numbers to indicate likelihoods and severities of risks (values in the range 1-10, where a value of 1 is the least likely/severe, 10 the most), and effectiveness of detections, whereas the other tools use cardinal scales (or *ranges* of cardinal scale values see next bullet) for these.
- Point values vs. ranges of values: the DDP uses point values to indicate likelihoods (e.g., 0.1), and severities of risks, whereas the RAP tool uses values for risks' likelihoods and severities that correspond to several pre-determined *ranges* (e.g., a likelihood range of 0.7 1.0). Galileo can accommodate point values, and more generally, *distributions* of values (constant, exponential, lognormal, or Weibull).
- Risk mitigation described as pre- and post- risk conditions, vs. described as a transformation (e.g., "halves the likelihood"): RAP defines the effect of mitigations by the expressing the effected risks' post-mitigation likelihoods and severities (e.g., an unmitigated risk has a likelihood in the 0.7 1.0 range, and a mitigated likelihood in the 0.3 0.5 range), whereas DDP defines effects as a transformation on whatever was the pre-mitigation value (so if a mitigation has an effectiveness of 0.8 against a risk's likelihood, then applying the mitigation will decrease whatever likelihood that risk has by 80%, e.g., would reduce a likelihood of 0.7 by 0.56, to 0.14)
- Implicit vs. explicit representations of causality: Galileo's *fault trees* explicitly capture the causal structure of combinations of events leading to risks; the FMEA tool separately represents "cause" and "effect", but does represent any further detail of a causal structure beyond this single layer (although we have seen users indicate such structure in the textual descriptions e.g., a system reboot caused by a "power surge or drop, or internal software error", but such textual descriptions are uninterpreted by the tool); similarly, both DDP and RAP have the concept of an Objective, instances of which are associated to risks to indicate how the occurrence of those risks would adversely affect those objectives similar to the FMEA tool, these encode a single layer of cause and effect, but not a more elaborate structure; the RAP tool allows for the recording of "events" associated with risks, but does not utilize these in the calculation of risk likelihoods.
- Lack of corresponding concepts: DDP, RAP and the FMEA tool each have the explicit concept of a mitigation that reduces risk (albeit with rather different detail, see next bullet), yet Galileo does not (it evaluates a design as-is). Conversely, through its support of dynamic fault tree concepts, Galileo is able to much more faithfully represent the nuances of a given system design than any of the others.
- Differing levels of granularity (what is an atomic object to one tool may be a composite of several distinct objects to another): both RAP and the FMEA tool are organized to allow the association of a single "mitigation" (in RAP terms) / "current design control" (in FMEA terms) with a given risk, whereas DDP allows for multiple mitigations to be associated with a given risk. (Note that all three tools do allow a mitigation to be associated with multiple risks.)

4 Overcoming Semantic Dissonance Impediments

We employed a mixture of solutions to the semantic dissonance impediments listed in the previous section. We present them in the subsections that follow, in order of their increasing complexity.

4.1 Transfer

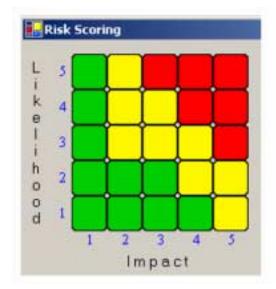
Some information is readily transferred as-is (or nearly so) - e.g., risk titles and descriptions are textual fields common to all four tools.

Adjustments may be needed for *syntactic* mismatches (e.g., when one tool's maximum length allowed for a title is greater than another's), and occasional *semantic assumptions* associated with names (e.g., tools such as Galileo assume that two risks with the same name are the exact same risk, whereas this is not necessarily so in RAP or DDP, wherein two different risks may happen to have been named the same). Simple translation mechanisms typically suffice to resolve these slight mismatches.

4.2 Translate

Some information from one tool can be translated into a representative equivalent in another tool.

An example of this is to translate a RAP score that is a *range* of values into a representative single point value in DDP. There can be a choice of such representative values. Figure 1 shows RAP's interface for "scoring" risks: the user selects a cell in the 5x5 matrix, where the axes are scores are integers in the range 1-5. The correspondence between these scores and value ranges is shown in the table to the right, along with three possible representations of such ranges as point values. Translation in the reverse direction – from a point value to the range in which that point belongs – is of course unambiguous, but loses information (multiple points translate to the same range).



RAP score	Range	Alternate Representative Values		
		Max	Mean	Min
5	1.0 - 0.7	1.0	0.85	0.7
4	0.7 - 0.5	0.7	0.6	0.5
3	0.5 - 0.3	0.5	0.4	0.3
2	0.3 - 0.01	0.3	0.145	0.01
1	0.01 - 0.0	0.01	0.005	0.0

Figure 1 – RAP 5x5 Risk Scoring, and the corresponding range values

Translation can be used to convert *qualitative* data into a *quantitative* representation. For example we translated the FMEA tool's ordinal scale values (integers in the range 1-10) into DDP's cardinal scale values (floating point values in the range 0-1) by treating the ordinal as a regular number, and dividing by 10 (e.g., FMEA's lowest non-negligible likelihood, indicated by a "1", is translated into the probability 0.1 in DDP). Such a translation is obviously problematic – for example, the FMEA ordinals "1" and "2" in fact indicate only relative ordering, and are not a guarantee that the former is half the likelihood of the latter, whereas such an interpretation is made once these are translated into probabilities of 0.1 and 0.2. This is a recurring problem, and the best we can offer is to make the translation explicit (and adjustable). We have struggled with this same problem in earlier work that merged DDP with "Risk Balance Profiles" – the latter being DDP-like

information, but with only qualitative values – see [Feather and Cornford, 2003] for more discussion of this issue. We note an ingenious treatment of this problem described in [Chiang & Menzies, 2002]: a qualitative scale is translated into a quantitative scale that preserves the ordering, but with randomly chosen delineations; the ingenuity is to do this repeatedly (with the delineations chosen randomly again each time), perform the qualitative reasoning on each result to derive some number of qualitatively-based insights, and look to see which of those insights are relatively "stable" (occur repeatedly, except perhaps for some of the extremes).

4.3 Extend

Extend a tool with a concept it lacks (taking that concept from another of the tools).

Our primary example of this is the addition to DDP of the concept of a *range* of values (as seen in RAP). DDP initially dealt with a single point value for a risk's likelihood (and similar point values for a risk's impact on an objective, and for a mitigation's effect at reducing that risk). We extended DDP to hold for each of these a *triple* of values, one the "minimum" value, one the "maximum", and one the "nominal". By default DDP presents and manipulates just the "nominal" value, but offers the option of turning on the capability to use all three. This allowed us to represent RAP data, translating the end points of a RAP value range into the minimum and maximum values (and continuing our earlier practice of approximating the range with a single nominal value, the mean of the two).

Extensions such as this are on the whole conceptually straightforward, but nevertheless can have extensive ripple effects through the existing capabilities of the tool into which they are introduced. For example, DDP's various visualization capabilities (e.g., bar charts that plot risk levels, objective attainment levels, etc, 2-D plots of risks plotted against axes of likelihood and consequence) had been constructed with the assumption of single values. To date we have extended just the bar chart visualizations to indicate ranges of values (and to allow sorting the bars with respect to either of the extremes). See Figure 2, showing DDP's bar chart plots of (a) nominal values, (b) extremes

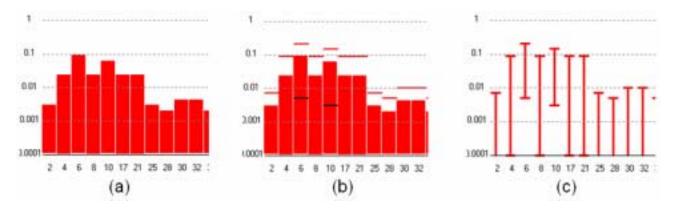


Figure 2 - DDP's bar chart plot (a), extended to show ranges of values (b) and (c)

superimposed as red (upper) and black (lower) line segments (note that the plot is a log scale, so some of the lower extremes do not show up), and (c) an alternate display indicating just the extremes.

This particular example demonstrates the value of utilizing the RAP tool to gather risk information (the advantages of which include its ease of use and established role within JPL's concurrent design environment), and thereafter using the visualization capabilities of DDP (in which there has been a significant investment of effort to develop such capabilities).

4.4 Restrict

A complementary approach to extending one of a pair of tools is to restrict the other! This means constraining usage of the tools' features (perhaps leaving this to the users to be good citizens, or

perhaps enforcing this via a mechanism within the tool itself) to the subset that matches another tool's capabilities.

An example follows from our extension of DDP to implement ranges of values. The DDP implementation is more general than RAP's: in RAP there is a pre-determined set of 5 ranges (1.0 - 0.7, 0.7 - 0.5, etc); In DDP we have not restricted the possible pairs of maximum and minimum values, so that it is possible, through the DDP interface, to assign a range that is not one of RAP's 5 ranges. For example, the range 1.0 - 0.6 would span RAP's topmost range and extend partway into its next one. Extending RAP to accommodate arbitrary value ranges would be a non-trivial effort. For example, the RAP interface seen earlier in the left of Figure 1 reflects the tool's underlying assumption that Likelihood and Impact values can each take on one of five ranges, where those ranges partition the space. Instead it is easier to contemplate restricting use in DDP only the pairs of extremes that match RAP's ranges. Then we would continue to guarantee the ability to transfer such information, even after modification within DDP, back to RAP.

This particular example demonstrates the value of constraining the more general of a pair of risk tools so that two-way information exchange can remain a possibility between them. Of course, there may come a point where the desire to use the capabilities of the more general tool of the pair outweighs the desire to retain the option to utilize the other tool, from which point on the data would be carried forward in only the more general tool.

4.5 Meld

By "melding" we mean a more semantically intensive version of extension, in which the incorporation of one tool's concept into another tool requires some in-depth considerations of the semantic implications (it's *not* conceptually straightforward).

Our primary example of this is the melding of fault trees (from Galileo especially, but also sometimes implied by what we saw in FMEA uses) into DDP. The semantic complications stem from the interplay between fault trees and mitigations. Figure 3 shows (a) DDP's standard topology for how Objectives, Risks and Mitigations are linked, and (b) where fault trees fit into this.

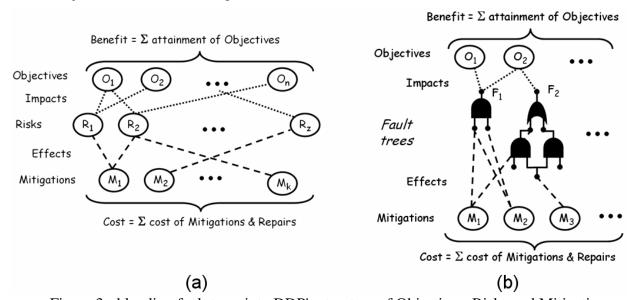


Figure 3 - blending fault trees into DDP's structure of Objectives, Risks and Mitigations

In standard DDP, where Risks are atomic objects, an "Effect" link between Mitigation and Risk is accompanied by a value, the proportion by which that Risk is reduced if the Mitigation is applied. The nature of the Mitigation dictates whether the reduction is to the Risk's severity (in DDP, its Impact(s) on Objectives) or to the Risk's likelihood; the latter has a further distinction between

"preventative" measures that decrease the likelihood of the risk occurring in the first place (e.g., adopting a coding standard to help avoid naming confusions among a team of programmers), and "detection" measures that decrease the likelihood of a risk present at one phase from going undiscovered (e.g., software testing reveals bugs that are then repaired, so that the final product is less "buggy"). When fault tree structures replace DDP's atomic risks, some non-trivial semantic questions arise, e.g., does it make sense for a Mitigation that reduces a Risk's severity to be connected to *any* node within a fault tree? (the answer is no; it only makes sense to connect such Mitigations to fault tree nodes that are connected, via "Impact" links, to Objectives – generally speaking the "root" nodes of fault trees, but sometimes it makes semantic sense for an intermediate node to have such Impact links.) For a more thorough discussion of the details of this, see [Feather, 2004]. Suffice it to say that this is an instance of integration that raises some interesting semantic issues, significantly beyond data value conversion issues.

4.6 Alternate

The different capabilities of a pair of risk tools can be used in alternation without necessarily requiring each tool to understand the full range of semantics of the other. This is done by exchanging information that only one tool manipulates – the other tool treats it as opaque data, akin to the way that browsers treat "cookies" (this usage derives from the UNIX term "magic cookie").

Two examples of this are seen in our combination of Galileo and DDP extended with "and" and "or" fault trees:

- In extending DDP to handle such trees, we extended the DDP code to calculate the likelihoods of such trees. However, DDP's implementation is inefficient for fault trees that contain large numbers of shared events. In contrast, Galileo is much more efficient it makes use of binary decision diagrams to solve static sub-trees [Doyle & Dugan, 1995]. To take advantage of this, we added a mode to DDP in which it calls upon Galileo to perform such evaluations. DDP passes Galileo a fault tree whose likelihoods for the leaf nodes have been determined within DDP (taking into account the likelihood-reducing effects of DDP "mitigations"), and Galileo computes and returns to DDP the likelihood of the root of that tree.
- Another use of alternation in this same realm would be to handle the other kinds of fault tree gates that Galileo supports. Recreating the semantics of these within DDP would be a significant effort. Instead, we could use this "alternate" strategy to have DDP store the representation of such gates, but again rely upon Galileo to evaluate the likelihoods of trees that make use of them. Note we haven't implemented this yet, but believe it to be feasible.

5 Utility

The introduction section described various motivations for integration of risk tools. Here we illustrate some of the utility gained from the integrations among the four risk tools we studied: DDP, the FMEA spreadsheet, Galileo and RAP.

5.1 FMEA & DDP

Our integration of the FMEA spreadsheet and DDP allowed for DDP's computations and visualizations to be brought to bear on the data gathered using the (more standard and easy to use) FMEA spreadsheet. The correspondences we established between the FMEA information and its DDP representation were as follows:

FMEA concept	DDP concept	
Item/Function's potential Failure Mode	Fault Tree root, an "or" node combination of its	
	causes	
Potential effect of failure	Objective to avoid such an effect	
Severity	Impact of fault tree root on Objective	
Potential cause(s)/mechanism(s) of failure	Fault tree leaf(leaves)	

Probability	Likelihood of fault tree leaf	
Current Design Control	Mitigation	
Detectability	Effect of Mitigation on reducing Likelihood or	
·	Severity (note: we rely upon a human to	
	disambiguate among these)	

To achieve this we made key use of the *meld* of fault trees within DDP; this then allowed us to *transfer* various FMEA concepts into DDP equivalents, and *translate* FMEA's qualitative values into DDP's quantitative values.

The original spreadsheet form made *some* risk questions easy to answer, for example "which failure mode contributes the most risk?" – the spreadsheet is set up to calculate the "Risk Priority Number", the produce of a failure mode's severity, probability and (lack of) detectability, so higher numbers indicate more risk. Once within DDP, it became possible to answer *additional* risk questions, for example "which current Design Control reduces the most risk?", and explore "what-if" scenarios, for example, "how would risks change if Design Control <x> were not done?". DDP can both calculate the above, and present the results via its cogent visualizations.

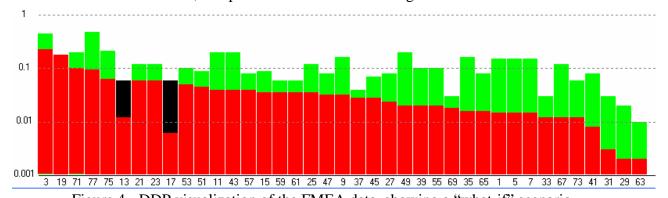


Figure 4 - DDP visualization of the FMEA data, showing a "what-if" scenario Figure 4 shows an example of such based on data from one of our project's uses of the FMEA spreadsheet:

- each vertical bar denotes a failure mode;
- red heights = total risk from that failure mode taking the reducing effects of current design controls into account;
- green heights = total risk from that failure mode *not* taking the reducing effects of current design controls into account;
- black height = *increase* in risks from the "what if" of turning off one of the current design controls
- the bars are *sorted* in descending order of mitigated risk (alternate orderings are possible, e.g., in descending order of greatest increase; in the order the risks were listed in the original FMEA spreadsheet).

From this kind of visualization it is easy to see the "big picture" of how risks compare to one another. For example, in Figure 4 it is easy to see where the current selection of design controls are having (or not having) effects (e.g., the second-tallest risk does not have a green portion to its bar, indicating that none of the current design controls have a mitigating effect on that risk). It is also easly to explore the effect of changes (e.g., the black portions of two of the bars indicate that turning off that one current design control would raise both those risks, turning them from well-mitigated to the 6th and 9th positions in risk order). Such explorations become more interesting when varying the selections of multiple design controls are considered. It would be possible to add such capabilities into the spreadsheet tool, but again the point is to utilize capabilities already implemented in another risk tool.

5.2 RAP and DDP

Our integration of RAP and DDP primarily allowed for scrutiny of RAP-collected risk data using DDP's visualizations. In a case study we used this to identify the vulnerabilities of the system being studied, and thereafter used DDP as a stepping-stone to the more detailed analysis of the vulnerable parts of the design using Galileo (see next subsection for the DDP-Galileo connection). The RAP-DDP correspondences we established were as follows:

RAP concept	DDP concept
Objective	Objective
Team members (e.g., "Power", "Propulsion")	Risk category values
Risk element (possibly shared by multiple of the team members, e.g., the same risk might have different impacts as viewed by the Power team member and the Propulsion team	Risk folder (a grouping of individual risks)
member) Risk factor	Risk
Risk factor's owner	Risk category
Risk likelihood and impact on objective(s) before mitigation	Risk's unmitigated likelihood and impact on objectives
Risk likelihood and impact after mitigation	Risk-reducing effect of that mitigation on that risk

To achieve this we used

- *translate* to turn RAP team members into the possible DDP risks' "category" values (string values in an enumerated set),
- *transfer* to pass over the RAP objectives titles, and for risks, their titles, and (premitigation) likelihoods and impacts on objectives.
- We had a choice of whether to *translate* a RAP value range into a representative single point value in DDP, or to *extend* DDP to represent ranges (we explored both alternatives).
- Finally, we were able to *translate* the RAP style of expressing a mitigation's effect on a risk via its post-mitigation likelihoods and severities into the DDP equivalent of mitigation(s) that translate (e.g., "halve") risk likelihoods or impacts. This last proved the most complex translation, since it commingled solutions to three semantic dissonance impediments at once. We discuss this in more detail:

Impediment 1: In RAP, the post-mitigation risk can differ from its pre-mitigation state by changes to *both* likelihood and impact. The assumption in DDP is that a mitigation changes only *one* of these. A possible solution would have been to extend DDP's mitigations to liberalize this assumption; however we instead took the route of translation into a pair of coupled DDP mitigations, one of which effected likelihood, the other impact.

Impediment 2: RAP expresses a risk as a range of values; DDP as a single point value. As discussed earlier, we can either pick a representative single point value to represent the range, or utilize DDP extended with ranges.

Impediment 3: RAP expresses the effect of a mitigation on a risk in absolute terms, namely the risk level that results from its application. Conversely, DDP expresses the effect of a mitigation in relative terms, namely the proportional reduction to the risk that results from its application.

These three impediments are intertwined; our solution to the first of them means that the next two deal separately with a risk's likelihood and consequence. The solution to the third impediment is dependent on whether a single point value is used, or explicit ranges, as follows:

• If translating a RAP value range to a representative single point value in DDP, then: pick the translation to use (e.g., the "Max" column of the table in Figure 1);

let P = the representative single point value for the RAP *pre*-mitigation score, and

let Q = the representative single point value for the RAP *post*-mitigation score;

Recall that a DDP mitigation effect value V indicates the reduction *proportion*, so a V-valued mitigation applied to P reduces it by P*V, i.e., Q = P*(1 - V)

So the DDP mitigation effect value V = 1 - Q/P

For example (using "Max" for the single point value):

RAP pre-mitigation range [1.0 - 0.7] becomes representative single point value 1.0 (P)

RAP post-mitigation range [0.3 - 0.01] becomes single point value 0.3 (Q)

So the DDP mitigation effect value V is 1 - Q/P = 1 - 0.3/1.0 = 0.7

• If using DDP is extended with *ranges* of values, then translating mitigation of a RAP range into DDP is accomplished as follows:

let P-pessimistic = the *high* end of the *pre*-mitigation RAP range

let P-optimistic = the *low* end of the *pre*-mitigation RAP range

let Q-pessimistic = the *high* end of the *post*-mitigation RAP range

let Q-optimistic = the *low* end of the *post*-mitigation RAP range

Use these to calculate a DDP mitigation effect with a *range* of effect values:

[V- pessimistic – V- optimistic], as follows:

V-pessimistic = 1 – Q-pessimistic/P-pessimistic

V-optimistic = 1 – Q-optimistic/P-optimistic

See Figure 5 for an example.

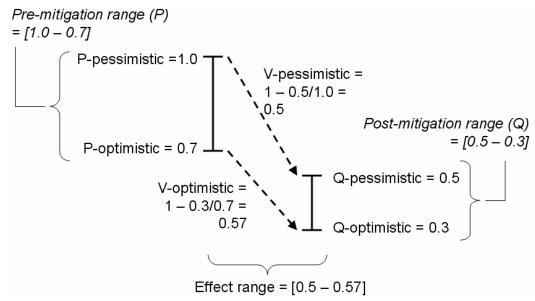


Figure 5 - translation of a range-valued pre- and post- mitigation risk

The net effect of all this is that we are able to use DDP to represent RAP-collected risk data. We have the option of doing this using representative translation (the "single point value"), which is expedient and, by varying the selection of that translation (e.g., Max risk for the worst case, Min for the best case), allows the scrutiny of these alternates. We also have the option of doing this using the more elaborate range translation, which has the advantage of preserving all of the RAP-gathered risk data.

The value of being able to scrutinize RAP risk levels using a DDP visualization capability was used earlier as an illustration (Figure 2). Similarly, the value of being able to view and manipulate (e.g., sort) FEMA risk data, both pre- and post- mitigation, using a DDP visualization capability was also illustrated earlier (Figure 4). Here we have the combination of both: RAP risk data for pre- and post-mitigation levels, and their expression as ranges of values. Now we can translate this

(without loss of information) into DDP, and, having extended both the DDP data representation with ranges, and also some of its visualizations to handle them, we can view and manipulate this data.

An example of such is seen in Figure 6, where data from a RAP study has been translated into DDP extended with ranges of values. The top portion of the figure shows the entire set of risk information, where the possibly several risks factors (each from a different member of the engineering team) of a risk element are grouped adjacently. The "zoom" to the left (which isn't a part of DDP – we added it to form this figure) shows a close-up. In there can be seen two risks (numbers 1.1 and 5.1) with overlapping "T" bars: the green one indicates the pre-mitigation levels for the risk, the red one indicates (lower) post-mitigation levels. Also visible is a group of several risks, 7.1 through 7.5: these indicate the different team members assessments of the same risk element. The color green is used throughout to indicate pre-mitigation risk levels, while the other colors indicate the different team members. The center portion of the figure illustrates sorting on the basis of the maximum risk levels, and the bottom portion of the figure illustrates grouping by team member, and sorting within each such group.

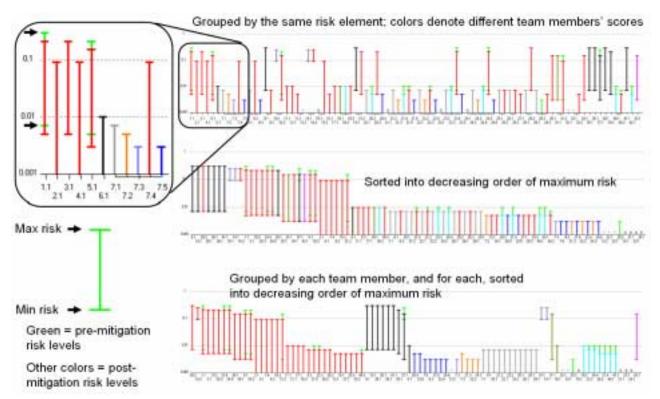


Figure 6 - DDP visualizations of RAP risk data

Overall this indicates the richness that DDP brings to bear when applied to data that RAP has been used to gather.

5.3 DDP and Galileo

Our integration of DDP and Galileo allowed for the combination of DDP's notions of mitigations as options for reducing event likelihoods and risk severities, and Galileo's fault tree notions of calculating the likelihoods of fault tree root nodes from the structure of those trees and their leaf node likelihoods. The correspondences we established between DDP and Galileo were as follows:

Galileo concept	DDP concept	
Fault tree of "and" and "or" gates	Fault tree of "and" and "or" gates	
Fault tree's impact(s)	Fault tree's impact on Objective(s)	
Fault tree's leaf node likelihoods	Fault tree's leaf node likelihoods	

The precursor to this was the *meld* of fault trees into DDP. As discussed earlier, this required some in-depth considerations of the semantic implications – see [Feather, 2004] for details. Having incorporated fault tree notions into DDP, we *transfer* DDP fault trees to Galileo, and have the latter compute their root node likelihoods.

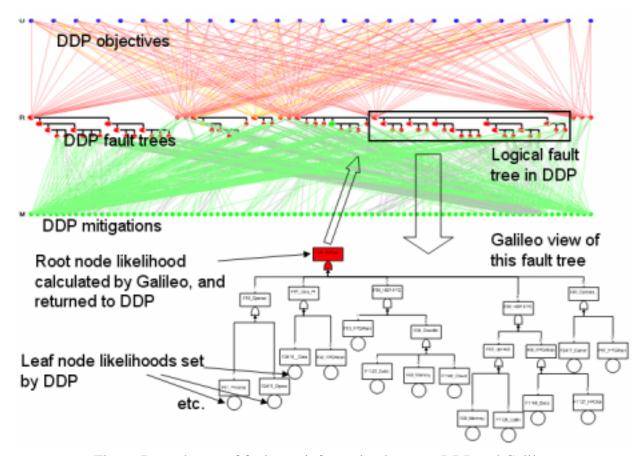


Figure 7 - exchange of fault tree information between DDP and Galileo

An example of this is seen in Figure 7, displaying data from a study we performed on a representative example of spacecraft design information. The upper half of the figure shows the DDP-generated graph of the structure of Objectives (represented by the top row of blue circles) linked to root nodes of the Fault Trees (in the middle). The red lines connecting Objectives and Fault Trees indicate where occurrence of the fault tree root nodes would detract from attainment of Objectives (included in the data but not shown in this visualization are the quantitative values for the amounts of detraction). Mitigations (represented by the bottom row of green circles) are linked (the green lines) to the Fault Tree leaf nodes whose likelihoods they would decrease.

We automated the two-way transfer of information between DDP and Galileo: each of the DDP fault trees is translated into its equivalent within Galileo. This is illustrated by the boxed fault tree in the DDP structure, and its Galileo equivalent shown in the lower half of the figure. Galileo then computes the likelihood of the fault tree root, and returns this value to DDP. The net result of this is that we can employ Galileo's capabilities for evaluating fault trees, and DDP's capabilities for considering alternate selections of Mitigations.

One of the big advantages of this is the ability to use DDP to search for near-optimal selections of Mitigations. Recall that for a given selection of Mitigations, DDP computes its *cost* and *benefit*. Cost is simply the sum of the costs of the selected Mitigations; benefit is the sum of expected attainment of the Objectives, taking into account the risks that detract from those Objectives. The advance here is that fault trees are involved: the likelihoods of those fault tree roots are used in

DDP's calculation of by how much a risk detracts from attainment of Objectives; the likelihoods

themselves are calculated by *Galileo* from knowledge of the fault tree structures and their leaf node likelihoods. These leaf node likelihoods are set by *DDP*, taking into account the likelihood-reducing effects of the selected Mitigations.

The net result of this is that we can evaluate a selection of Mitigations in terms of its cost and its benefit. In this study, there were 88 different Mitigations, hence 2⁸⁸ ways of selecting from among them. To explore this size of search space we use simulated annealing (a standard form of heuristic search that is programmed within DDP) to locate near-optimal selections of mitigations. The plot of a search run where we utilized the DDP-Galileo integration to

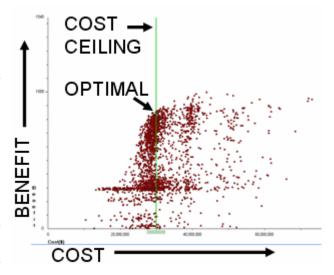


Figure 8 - plot of a search for near-optimal selection of Mitigations

search this design space is shown in Figure 8. Each tiny red point on this plot represents a different selection of Mitigations, for which the DDP-Galileo integration has been called upon to evaluate its cost and benefit. For the cost bound we set for this run (indicated by the vertical green line), the run found a wide spread of selections; those to the left of the green line and as high up as possible are the optimal ones.

We are aware of only a few instances of probabilistic risk assessment coupled with optimization (over allocation of resources and/or among alternate designs), for example, [Dillon et al, 2005] suggests use of dynamic programming to solve large problems that may arise in their modeling. In our approach we were able to achieve this by the integration of separately constructed capabilities, demonstrating the considerable power that can be gained from the integration of sophisticated capabilities that different tools offer

6 Summary and Future Work

In this paper we have described our motivation for seeking to integrate several risk tools, and our experiences in doing so. We summarize and reflect upon this effort.

6.1 Status and Benefits of Integration

We explored integration between four separately developed risk tools, each with unique strengths. Figure 9 gives an overview of these integrations – the main features and applications of each of the tools are listed, their major outcomes are shown at the top and bottom, and arrows between the tools indicate the information transfers among them that we have utilized. The FMEA-to-Galileo connection is one that we have not yet implemented, but believe can be accomplished with a subset of the techniques we developed for the FMEA-to-DDP integration. To date, our most significant use of these combined capabilities has been to start with risk data generated from a study conducted at JPL's Project Design Center, using the RAP tool; this information was then transferred to DDP to help identify the key vulnerabilities of the study design; this information was then transferred in turn to Galileo, to perform detailed analyses of those vulnerable parts. For details on this, see [Meshkat et al, 2005].

We currently are approaching the integration of additional risk tools in a similar manner. Two in particular that we are working with are:

- Active Risk Manager [ARM] this is a commercial risk management software system in use at NASA. At its heart, its representation of risks and mitigations is akin to that of the RAP tool (albeit with many more attributes that support project management activities, and with more options for how risks are scored). We are finding that the approach we followed to connect RAP and DDP will serve as the basis for connecting ARM and DDP.
- "Event Consequence Tree" (ECTree) a NASA-developed spreadsheet-based tool for constructing and calculating probabilities of system failures. At its heart is the commonly used concept of an event tree described in [NASA PRA, 2002] as "An [Event Tree] starts with the initiating event and progresses through the scenario, a series of successes or failures of intermediate events called pivotal events, until an end state is reached." Likelihoods are associated with those pivotal events. Our preliminary experiments at integrating DDP and ECTree are following what we called in Section 4.6 the *alternate* approach to their integration DDP controls the likelihoods of the pivotal events, and ECTree takes those into account to compute the overall likelihoods of the different end states, passing those likelihoods back to DDP.

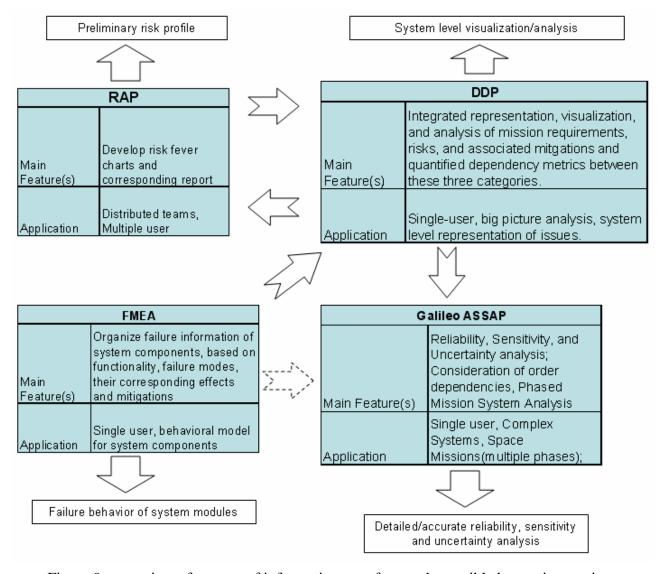


Figure 9 - overview of our use of information transfers made possible by our integrations

6.2 Implications of Semantic Dissonance Impediments to Integration

In the course of our integration efforts we repeatedly encountered impediments attributable to the phenomenon of "semantic dissonance" – mismatch between conceptual assumptions made by separately developed tools. The earlier sections described the kinds of mismatches that we encountered and our solutions to overcoming them. The illustrations in this paper are of actual risk data that, as a result of our solutions, we were able to transfer between the tools. Based on this (admittedly still quite limited) experience, we make the following observations:

- *Non-substitutivity of risk tools*. No single risk tool of our set of four fulfils all the needs for risk-based reasoning. Each has its unique strengths, appropriate to some particular form of risk-based decision making (e.g., RAP and FMEA are both adept at supporting the intuitive and rapid gathering of risks and mitigations). Hence we cannot substitute one of these tools as-is for another.
- Integration by information transfer pros and cons. To get the kinds of benefits of integration that we have illustrated, we would either have to extend the capabilities of a given tool to encompass those of another, or, as we have done here, develop the means to transfer risk information between tools. Given the significant investment of effort that has gone into the construction of, for example, the Galileo tool, it would be no small task to recreate this as part of, say, the DDP tool. Hence the economics of development favor integration achieved by information transfer. Conversely, the mechanism of information transfer may impose a computational overhead that a tighter integration would circumvent; also, the "look and feel" of a single, unified tool can only be achieved through some rework of one (or both) of the tools in question.
- Lack of an all-encompassing representation of risk information. While we saw some overlaps among the semantics of various pairs of tools, the intersection of the semantics of all four of them is empty! For example, two of them treat risks qualitatively, two quantitatively. Some express the effect of a mitigation in absolute terms (e.g., risk likelihood before, vs. after, mitigation), some in relative terms (e.g., risk likelihood is "halved" by mitigation). Even the title of a risk has a different semantic connotation among tools (in Galileo, the same title in multiple places of the fault tree means the exact same event, whereas other tools allow different risks to happen to have the same title). This suggests that it will be challenging to find an all-encompassing representation of risk information that will underpin the integration of disparate risk tools. Such was a vision of the ambitious "Risk Tool Suite for Advanced Design" effort of which we were a part. At the heart of the its architecture was to be a unified representation of risk information, which would serve as the nexus through which all risk tools would communicate. Our experiences reported in this paper suggest that only some of the impediments will be overcome by what is termed "ontology matching" (e.g., see [Doan et al, 2004]).
- Motivations for modifications to existing risk tools. Our focus on risk tool integration motivated contemplation of modifications to the tools themselves (not just to their risk information import/export capabilities at the tool interfaces). For example, in order to accommodate ranges of values, we were motivated to extend our DDP risk tool (both its internal representation, and some of its visualization capabilities) accordingly. Likewise we extended DDP with simple fault tree structures in place of atomic risks. These extensions led to capabilities that could not be achieved with information transfer alone. On occasion an information mismatch (even if we found a work-around for it) would cause us to question a risk tool's inbuilt assumptions (e.g., the DDP tool's assumption that a mitigation may change either, but not both, of a risk's likelihood and impact).

Our focus has been the integration of risk tools that share similar concepts. There is also the need to look at integrating some less obviously similar concepts, e.g., in the realm of reliability modeling and analysis, [Coppit et al] use failure automata to formalize dynamic fault trees and reliability block diagrams. Overall this suggests that integration of both risk tools and risk concepts is a fertile ground worthy of continued attention.

7 Acknowledgements

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MA Mathematics & Computer Science, Cambridge, England, 1979

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SE/RM Relevant Work Experience:

Software developer of the Defect Detection and Prevention software tool; providing JPL support to ESMD Integrated Modeling & Simulation Risk task.

SE/RM Relevant Expertise:

Principal in the Software Quality Assurance group of JPL's Quality Assurance Office, working on various tasks related to the V&V of software. http://eis.jpl.nasa.gov/~mfeather

9.2 Steven L. Cornford

<u>Company</u>: Jet Propulsion Laboratory, California Institute of Technology

Degrees Received:

BS in Mathematics, BS in Physics UC Berkeley MSci in Physics, Texas A&M Ph.D. Physics, Texas A&M, 1992

SE/RM Relevant Work Experience:

Principal Investigator for development and implementation of the Defect Detection and Prevention software tool; providing JPL support to ESMD Integrated Modeling & Simulation Risk task.

SE/RM Relevant Expertise:

Payload Reliability Assurance Program Element Manager Instrument system engineer Test-bed Cognizant Engineer

9.3 Leila Meshkat

<u>Company</u>: Jet Propulsion Laboratory, California Institute of Technology

Degrees Received:





B.S. Applied Mathematics, Sharif University of Technology 1994 M.S. Operations Research, The George Washington University 1997 Ph.D. Systems Engineering, University of Virginia 2000

SE/RM Relevant Work Experience:

Lead for the development of "Risk Assessment Program" (RAP) software and its infusion into regular use within JPL's JPL's collaborative design environment. Conducting various in-depth risk assessments for JPL's long-range planning of its space assets.



SE/RM Relevant Expertise:

Senior Engineer in the Systems Analysis and Model Development Group of the Mission Systems Concept Section. Principal Investigator on several research tasks related to risk analysis and design. Organizer of a NASA multi-disciplinary workshop in 2004 on "Decision Based Design Structures for Space Missions".

9.4 Luke Voss

<u>Company</u>: Jet Propulsion Laboratory, California Institute of Technology

<u>Degrees Received:</u>

BS in Physics, California Institute of Technology

SE/RM Relevant Work Experience:

Developed the software of the Risk Assessment Tool (RAP) in current use in JPL's collaborative design environment. Currently working to similarly infuse model-based system engineering tools into this and related settings.

SE/RM Relevant Expertise:

Systems Engineer in the Collaborative Engineering Group of the Mission Systems Concept Section.